

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sung-Oh HWANG et al.

Group Art Unit: 2661

Serial No: 10/642,704

Docket: 678-1240

Filed: August 18, 2003

Dated: February 4, 2004

For: APPARATUS AND METHOD FOR  
TRANSMITTING/RECEIVING DATA  
DURING A HANDOVER IN A  
MOBILE COMMUNICATION SYSTEM  
PROVIDING MBMS SERVICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicants' duty of disclosure, it is respectfully requested that the references listed in the attached form PTO-1449 be considered by the Examiner and made of record in the above-identified application. A copy of each reference is attached hereto.

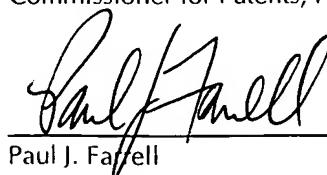
The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 4, 2004.

Dated: February 4, 2004

  
Paul J. Farrell

The listed items were cited by the European Patent Office in a counterpart application, namely Appln. No. 03018572.2. A copy of the European Search Report dated December 15, 2003 is attached hereto.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

**CERTIFICATION UNDER 37 C.F.R. §1.97(e)(2)**

Applicants submit that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Statement.

Respectfully submitted,



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 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO. 678-1240	SERIAL NO. 10/642,704
	APPLICANTS Sung-Oh HWANG et al.	
	FILING DATE August 18, 2003	GROUP ART UNIT 2661

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 02/43429	5/30/2002	PCT			X	
		WO 02/15600	2/21/2002	PCT			X	

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		1. European Search Report dated December 15, 2003 issued in a counterpart application, namely, Appln. No. 03018572.2.
		2. 3 <sup>rd</sup> Generation Partnership Project; Technical Specification Group Radio Access Network; Introduction of the Multimedia Broadcast Multicast Service (MBMS) in the Radio Access Network (Stage -2); Release 6, 2002.
		3. Ericsson: "UTRAN Architectural Aspects in Relation with MBMS", TSG-RAN WG2 meeting #30, June 24-28, 2002.
		4. Lucent Technologies: "Impact of Iur on MBMS Iu Bearer Selection", 3GPP TSG SA WG2 #25, June 24-28, 2002.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])